

Rec'd PCT/PTO 22 MAR 2002

XA-9594  
PATENT APPLICATION

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MATSUOKA, Hideyuki et al.

Intl. Appln. No.: PCT/JP00/03723

Intl. Filing Date: 8 June 2000

U.S. Appln. No.: 10/009,826

For: SEMICONDUCTOR MEMORY DEVICE AND MANUFACTURING METHOD  
THEREOF

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

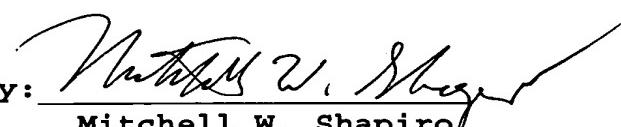
Pursuant to 37 C.F.R. § 1.56, and without any assertion as to materiality or prior art effect, the documents listed on the attached Form PTO-1449 are hereby cited.

Documents AA and AB on the attached list are cited in the specification, on page 3, and their relevance is indicated therein. Documents AC, AD, AL and AM were cited in the International Search Report (copy attached).

Respectfully submitted,

MWS:skj

Miles & Stockbridge P.C.  
1751 Pinnacle Drive  
Suite 500  
McLean, Virginia 22102-3833  
(703) 903-9000  
March 19, 2002

By:   
Mitchell W. Shapiro  
Reg. No. 31,568

| FORM PTO-1449  |    |                 |          | Atty. Docket No.          | Appln. No. |           |             |
|--|----|-----------------|----------|---------------------------|------------|-----------|-------------|
| <u>LIST OF DOCUMENTS CITED BY APPLICANT</u>  |    |                 |          | XA-9594                   | 10/009,826 |           |             |
|  |    |                 |          | Applicant                 |            |           |             |
|  |    |                 |          | MATSUOKA, Hideyuki et al. |            |           |             |
|  |    |                 |          | Filing Date               | Group      |           |             |
| <b>U.S. PATENT DOCUMENTS</b>   |    |                 |          |                           |            |           |             |
| Examiner Initial   |    | Document Number | Date     | Name                      | Class      | Sub-class | Filing Date |
|  | AA | 5,745,407       | 04/28/98 | Levy et al.               | 365        | 159       |             |
|  | AB | 5,535,156       | 07/09/96 | Levy et al.               | 365        | 175       |             |
|  | AC | 5,483,482       | 01/09/96 | Yamada et al.             | 365        | 175       |             |
|  | AD | 5,665,978       | 09/09/97 | Uenoyama et al.           | 257        | 30        |             |
|  | AE | 5,684,737       | 11/04/97 | Wang et al.               | 365        | 175       |             |
|  | AF |                 |          |                           |            |           |             |
|  | AG |                 |          |                           |            |           |             |
|  | AH |                 |          |                           |            |           |             |
|  | AI |                 |          |                           |            |           |             |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |                 |          |                           |            |           |             |
| Examiner Initial   |    | Document Number | Date     | Country                   | Class      | Sub-class | Translation |
|  | AL | 10-125939       | 05/15/98 | Japan                     |            |           | No          |
|  | AM | 11-17184        | 01/22/99 | Japan                     |            |           | No          |
|  | AN |                 |          |                           |            |           |             |
|  | AO |                 |          |                           |            |           |             |
|  | AP |                 |          |                           |            |           |             |
|  | AQ |                 |          |                           |            |           |             |
| <b>OTHER</b> (including author, title, date, pertinent pages, etc.)  |    |                 |          |                           |            |           |             |
|  | AR |                 |          |                           |            |           |             |
|  | AS |                 |          |                           |            |           |             |
|  | AT |                 |          |                           |            |           |             |
| Examiner   |    |                 |          | Date Considered           |            |           |             |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. |    |                 |          |                           |            |           |             |